

# Representing convection in Numerical Weather Prediction models and its implications

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# Convection is important

- It plays a major role in planetary budgets of heat, moisture and momentum
- Development of organized, long lived systems such as squall lines and MCS
- Extreme events and flood forecasting
- Misrepresentation of US MCS can lead to “forecast busts” of mid-latitude cyclones over Europe (Rodwell et al 2013)



Boscastle flood 2004

# An explosive extratropical cyclone

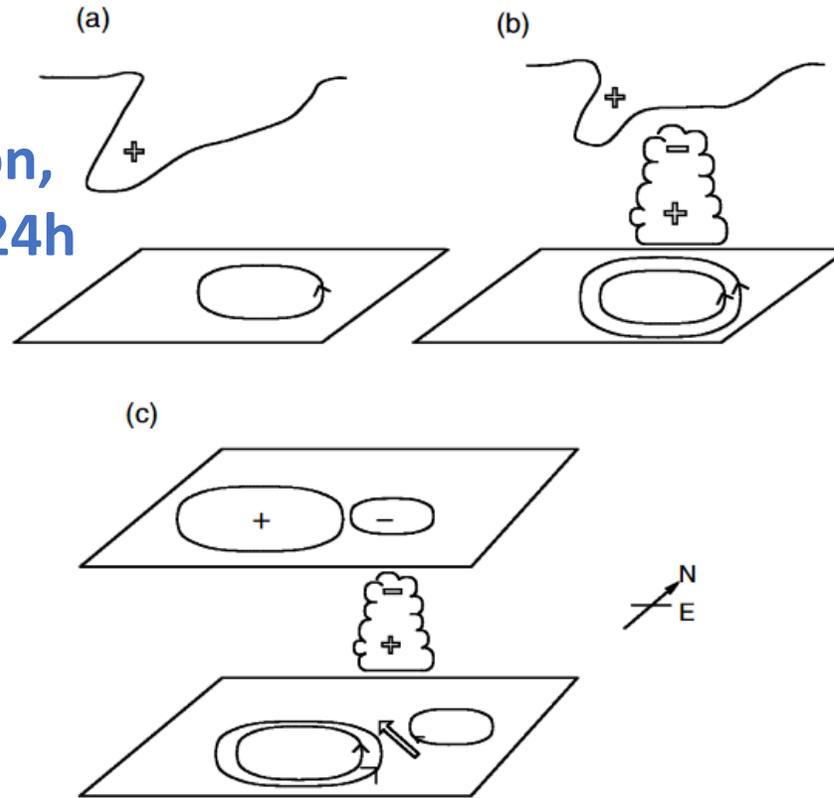
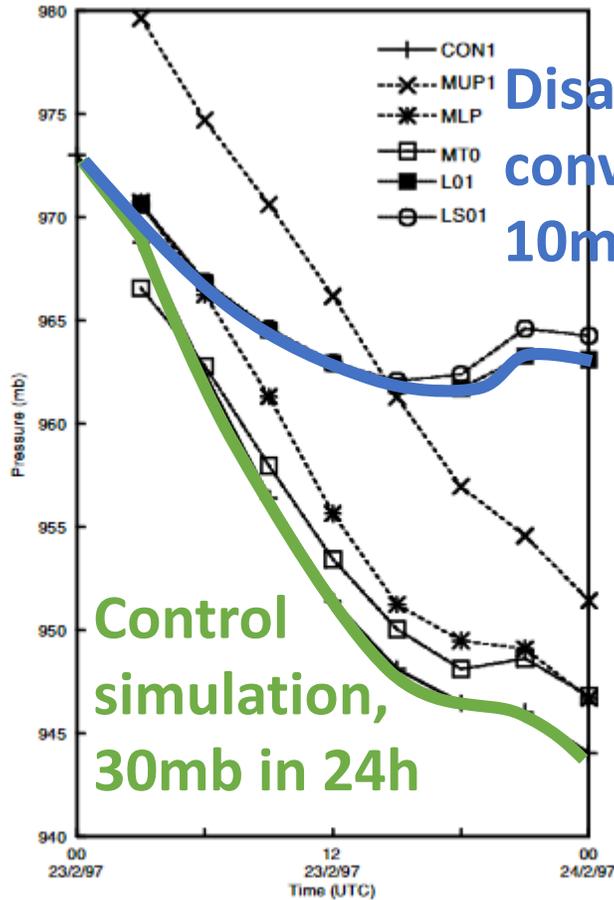


Figure 14. Schematic illustration of the various effects of latent-heat release on the low-level circulation associated with upper-level potential-vorticity anomalies. (a) The situation with no latent-heat release. The upper line denotes a tropopause fold, with associated positive PV anomaly. A low-level, cyclonic circulation is induced. (b) The dominant effects of latent heating. A positive low-level anomaly is formed which intensifies the low-level circulation. A local sink of PV is located above, and erodes the upper-level feature. (c) A subsidiary effect of latent-heat release. A downstream ridge is generated, and is associated with weak, downstream anti-cyclonic flow.

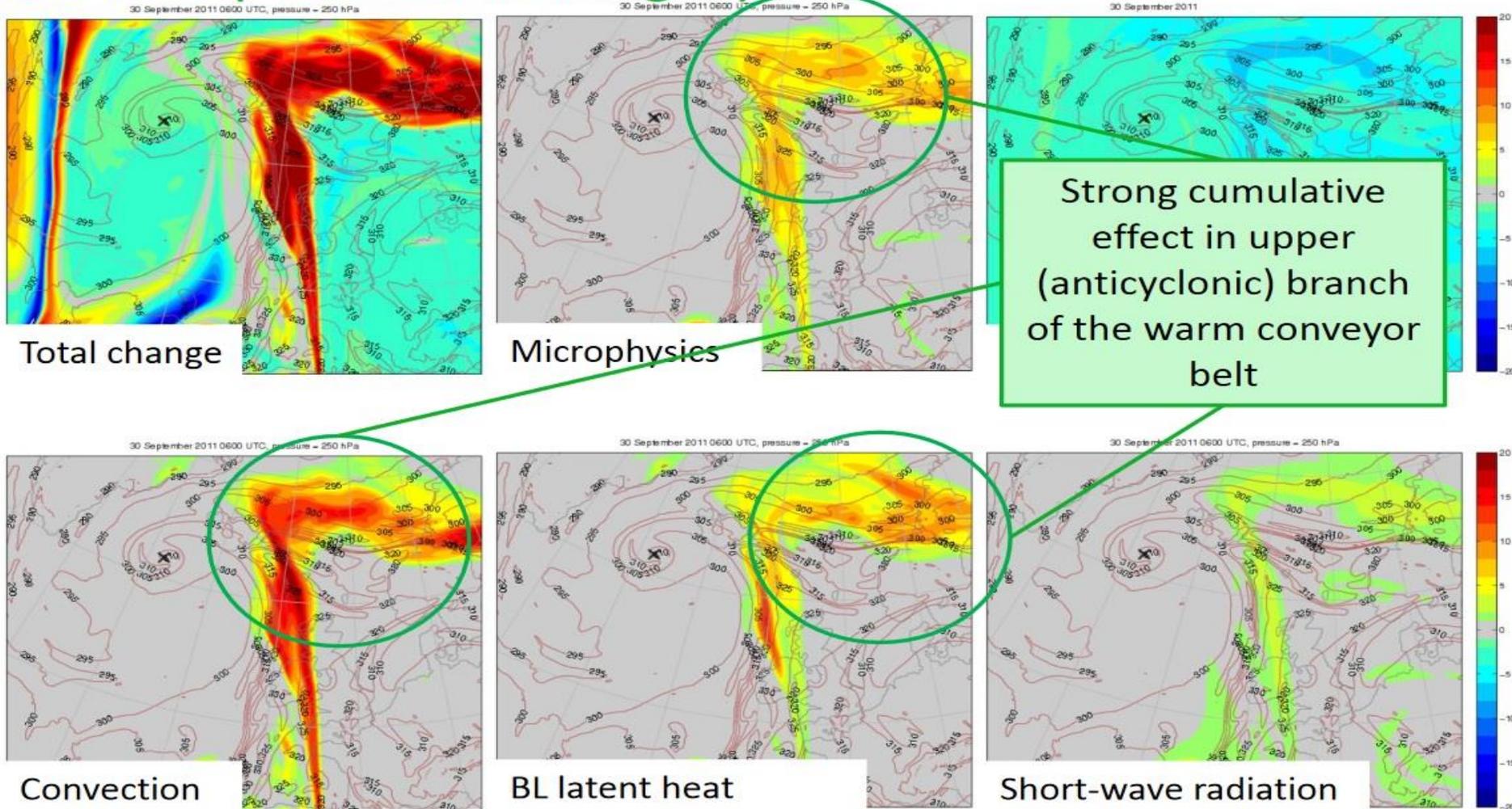
- Upper level feature → ascent → convection
- Convection → mid-level PV structure that interacts to drive explosive cyclogenesis
- FASTEX IOP18, 22/02/97

series at three-hour intervals of the minimum surface pressure of the 1 simulations. Each simulation is labelled by an identifier. The identifiers are listed in TABLE 1.

(Ahmadi-Givi et al, 2004)

# Convection downstream effects

Sources/sinks of potential temperature  
250 hPa (~10000 m height)



Accumulate heating terms over 42h and advect these along with the flow

NAWDEX,  
30/09/11

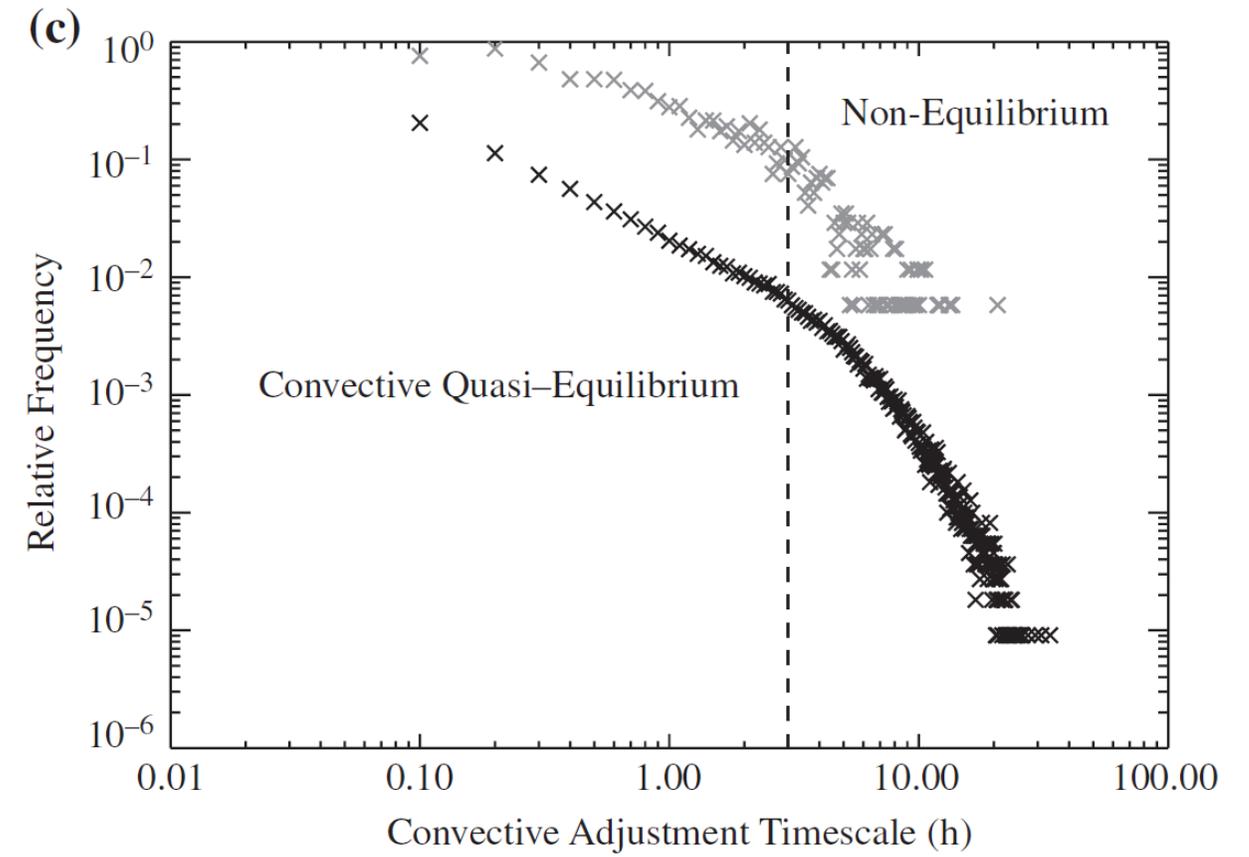
(Martinez-Alvarado and Plant, 2014)

# Equilibrium and non-equilibrium convection

- In equilibrium
  - Convection balances large-scale forcing
  - CAPE production by forcing determines rain rate
  - Convection often scattered or quasi-random within large-scale area (large uncertainty in location)
  - This is the usual assumption in a parameterization
- For non-equilibrium
  - CAPE build up made be hard to release
  - i.e. key factor is to overcome CIN (possibly large uncertainty in occurrence)
  - Harder to model – need to capture CIN-breaking mechanism
  - Convective location reliable if so but amounts uncertain

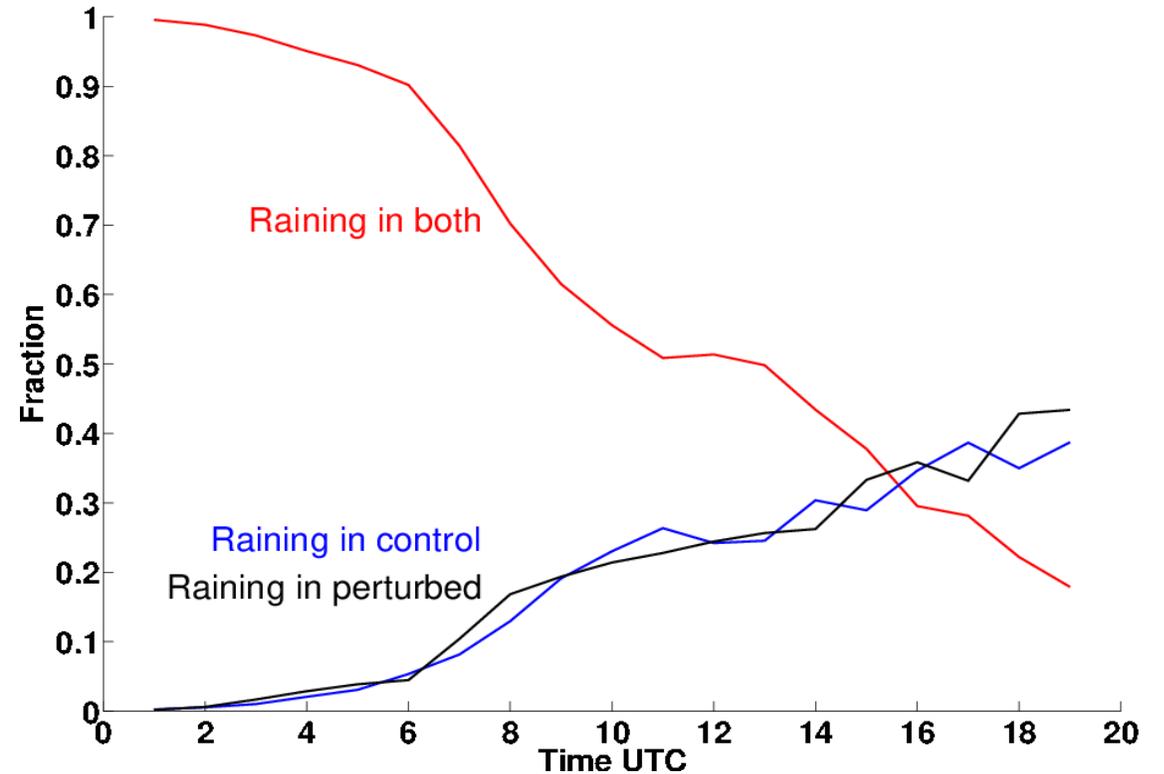
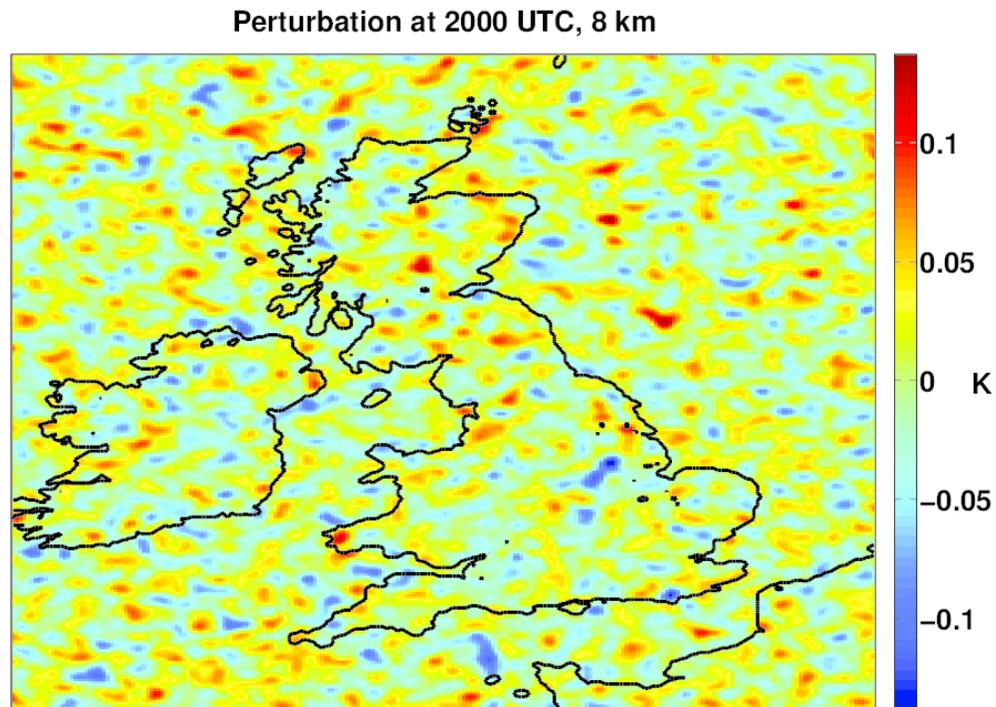
# Measuring equilibrium

- Convective timescale,  $\tau_c = \text{CAPE} / (d\text{CAPE}/dt \text{ due to convection})$
- Estimate of time for convection to adjust the atmosphere towards a neutral profile
- Small in equilibrium conditions
- With a 3h threshold, convective rainfall in the UK is in equilibrium 80% of the time (70% in Germany)



# Predictability in equilibrium convection

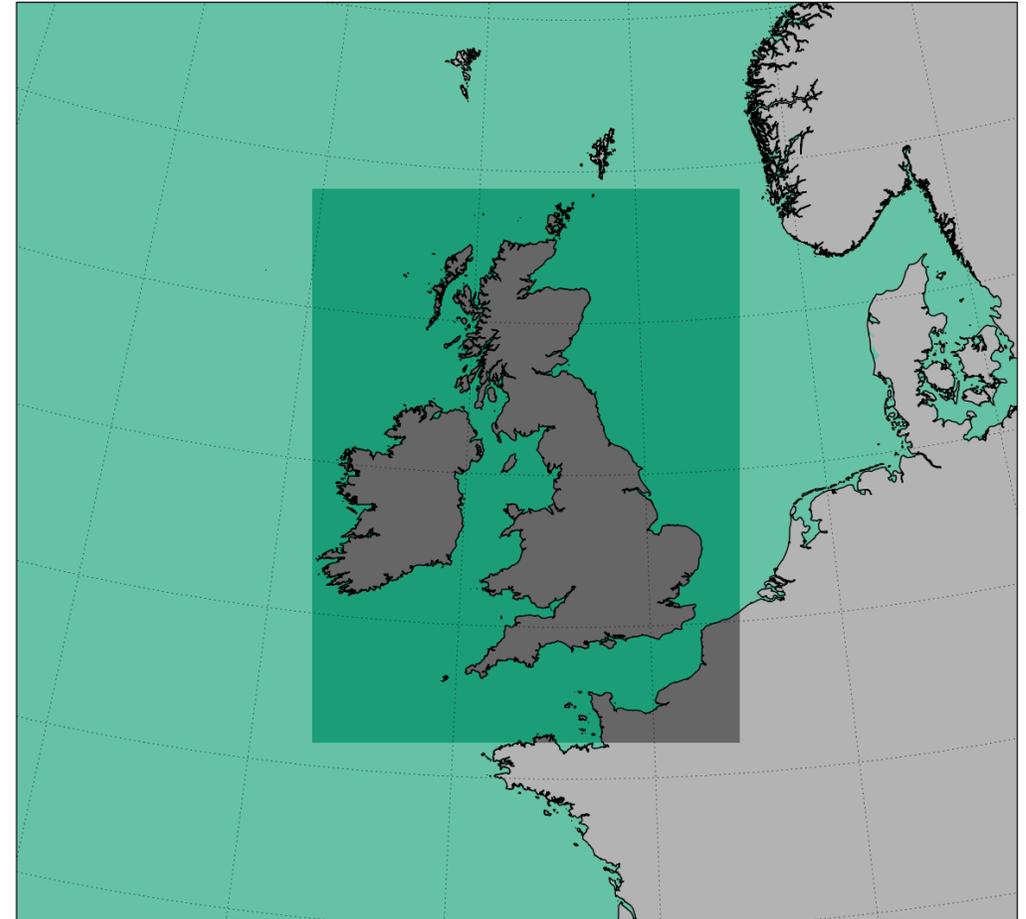
Small boundary layer fluctuations can easily shift the locations of precipitating cells



Fractions of rainy points in one or both simulations

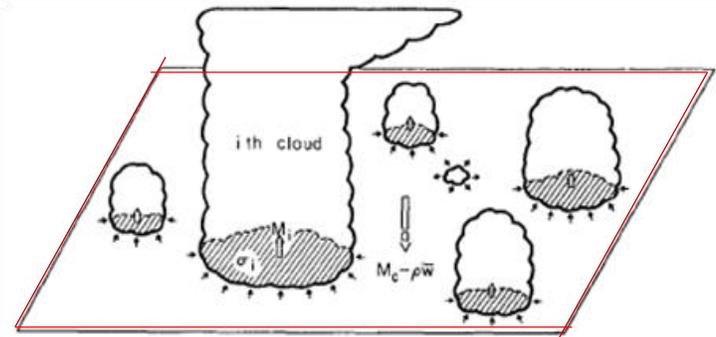
# The Unified Model

- Unified Model (UM) is the main NWP and climate tool in the UK
- With a convection parameterization:
  - Climate models at typically 40 to 200km grid spacing
  - Global model runs at 10km grid spacing (ensemble at 20km)
- With explicit convection:
  - UKV model runs at 1.5km spacing (ensemble at 2.2km)



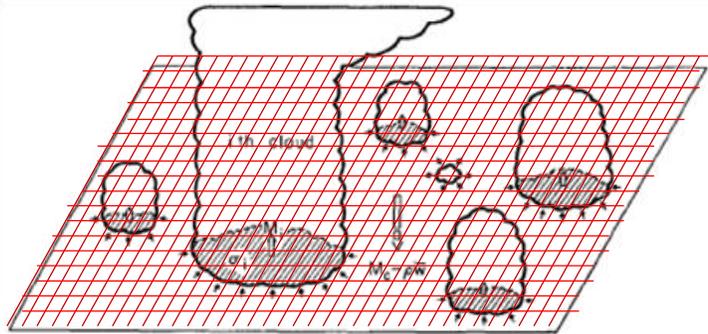
# Parameterization and its recent development

# Representation of Convection in Numerical Models



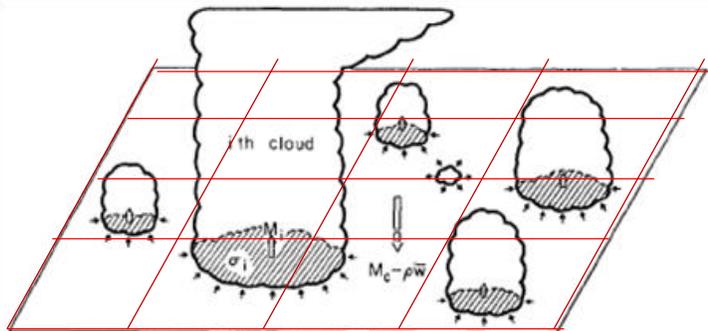
## Parameterized

- $\Delta x \sim 100\text{km}$  or larger
- Many clouds in a grid cell
- Represent the effect of all clouds on mean properties of the grid



## Explicit (large-eddy modelling)

- $\Delta x \sim 100\text{m}$  or smaller
- Many cells for each cloud
- Each cloud full resolved



## Grey zone

- $\Delta x \sim 1\text{-}10\text{ km}$
- Not enough clouds in a cell for parameterization
- Not enough grid cells to resolve individual clouds
- Representation should be scale-aware and stochastic

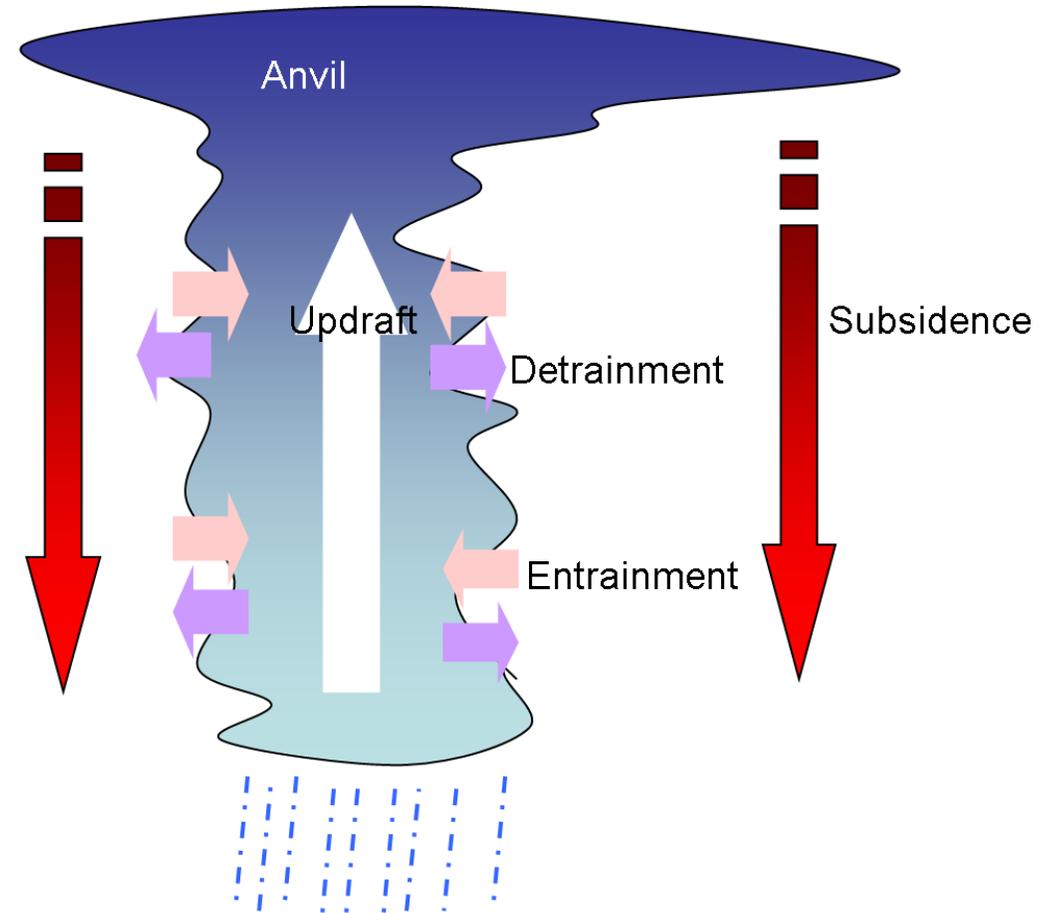
# Convection Parameterization

- Purpose is to feedback effects of unresolved processes on the model-resolved flow
- Simplified physical picture of a set of convective clouds within the grid box
- A key variable is the mass flux

$$M = \rho \sigma w$$

- Because we need to model the fluxes

$$\overline{\rho w' q'} = M(q_{cloud} - q_{env})$$



# Convection Parameterizations

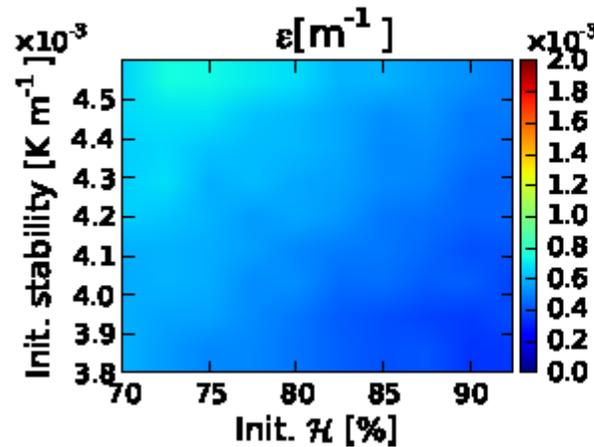
- Most schemes based on mass flux
  - Increasing interest in  $\sigma$  and  $w$  (Peters et al 2021)
  - And in very new perspectives e.g. multi-fluid, assumed pdf (Thuburn et al 2018)
- Need to estimate entrainment and detrainment
  - Increasing use of large-eddy simulations to extract exchange rates (de Rooy et al 2013)
- Most schemes are “bulk”
  - Increasing interest in spectra of different cloud types (Plant 2010)
- Most schemes use “equilibrium closure”
  - Increasing interest in non-equilibrium and alternative forms of closure (Bechtold et al 2014)

# Entrainment / detrainment estimates from LES

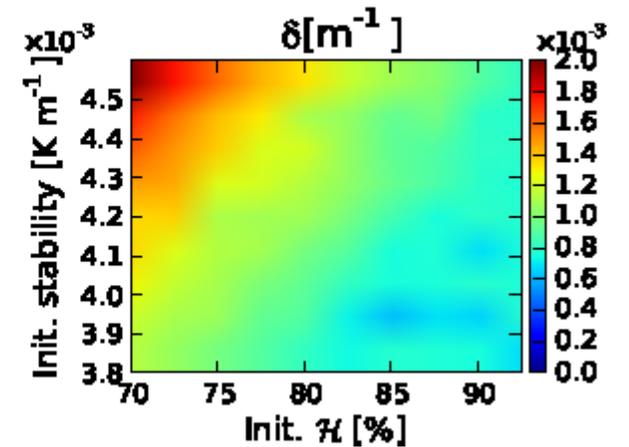
- Link entrainment to cloud sizes
- Link detrainment to cloud heights
- Not enough sensitivity to environmental RH
  - Derbyshire et al 2004, Bechtold et al 2008
- More variation in detrainment than entrainment rates
  - e.g. adaptive detrainment scheme of Derbyshire et al 2011
- Increased interest in cloud spectra

Estimate from large-eddy simulations with grid lengths around 100m

a)



b)



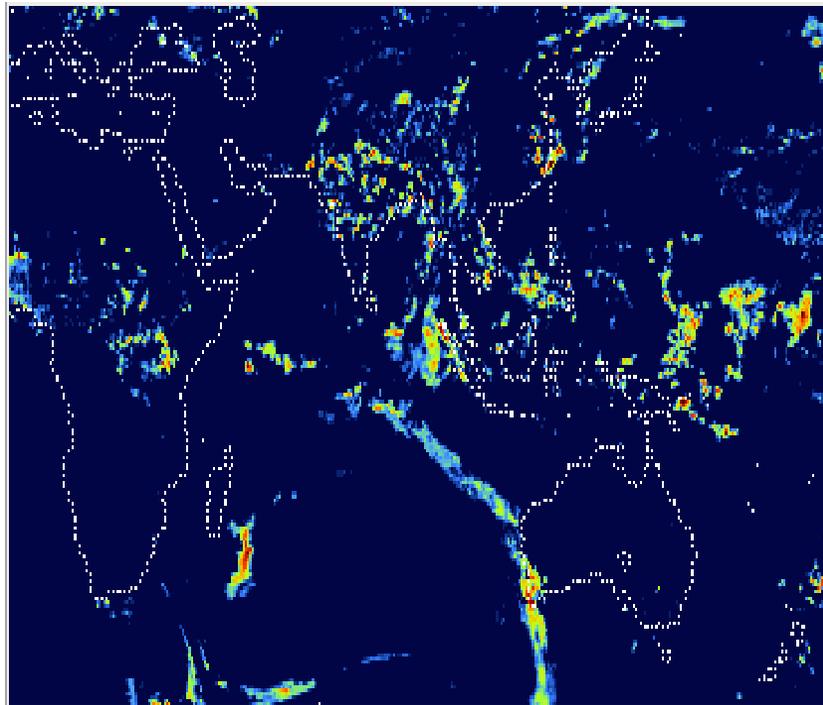
Boing et al 2012

# CoMorph

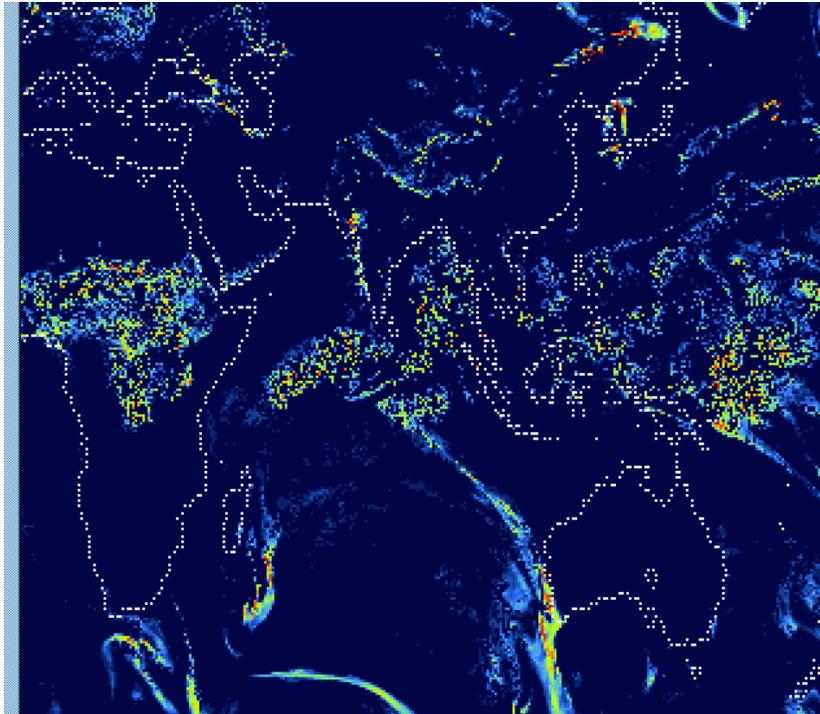
- New mass flux scheme for the UM, currently in trials
- Developed through large MO / university partnership, ParaCon, but especially by Mike Whittall
- Improved functionality includes:
  - Single-moment in-plume microphysics scheme, that allows for the mixed phase and graupel
  - Representation of in-cloud  $w$ , allowing convective overshoots
  - Separate consideration of cloud-mean and cloud-core properties in detrainment calculations
  - Simple representation of cold-pool effects, providing memory
  - Initiation of mass at any level, proportional to buoyant instability
- And much better numerics to prevent artificial on/off behaviours

# Snapshot of rain rates

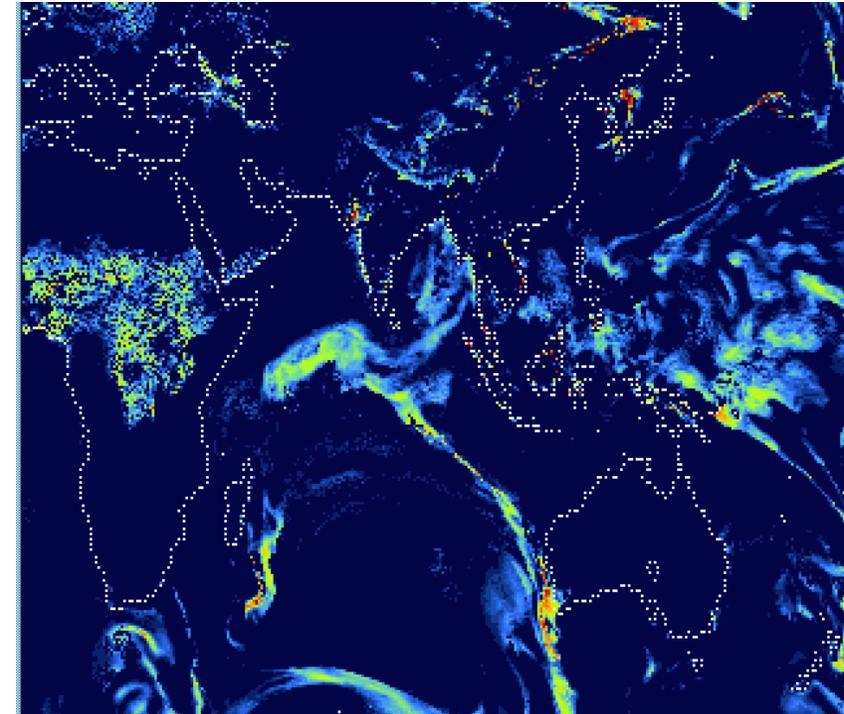
Many common closures (e.g. based on CAPE) have problems with intermittency



TRMM data



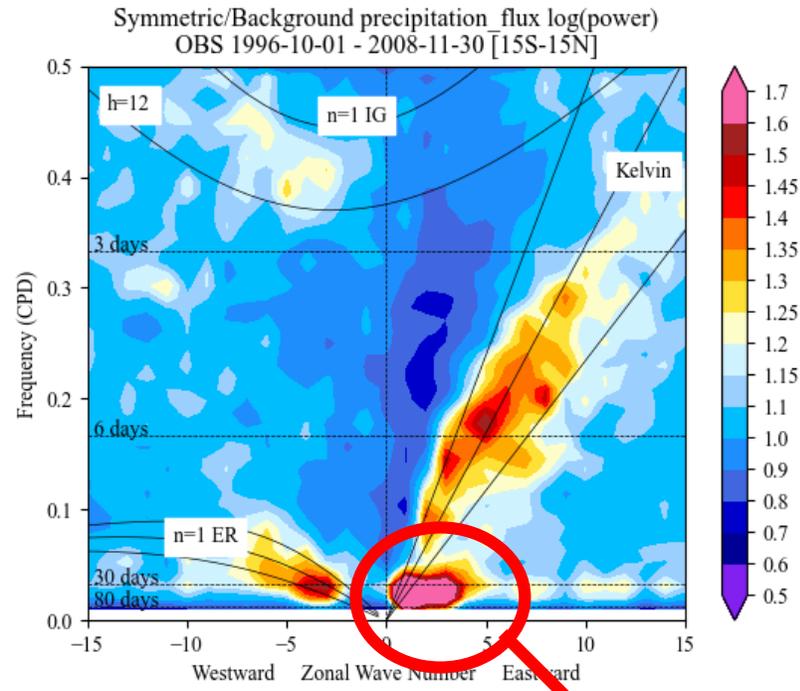
Old scheme, CAPE closure



A Comorph test

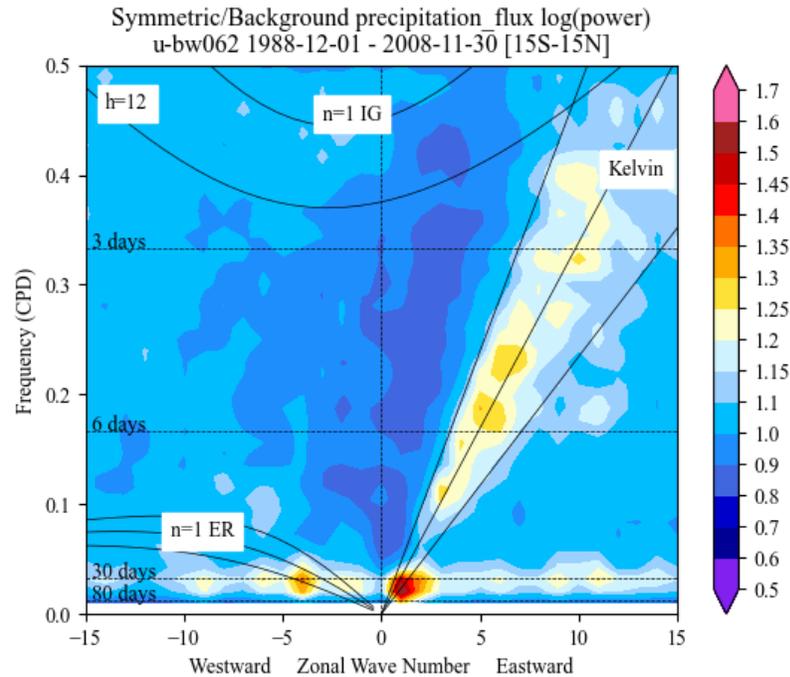
# Tropical Waves and the MJO

Good improvements, especially in capturing the MJO

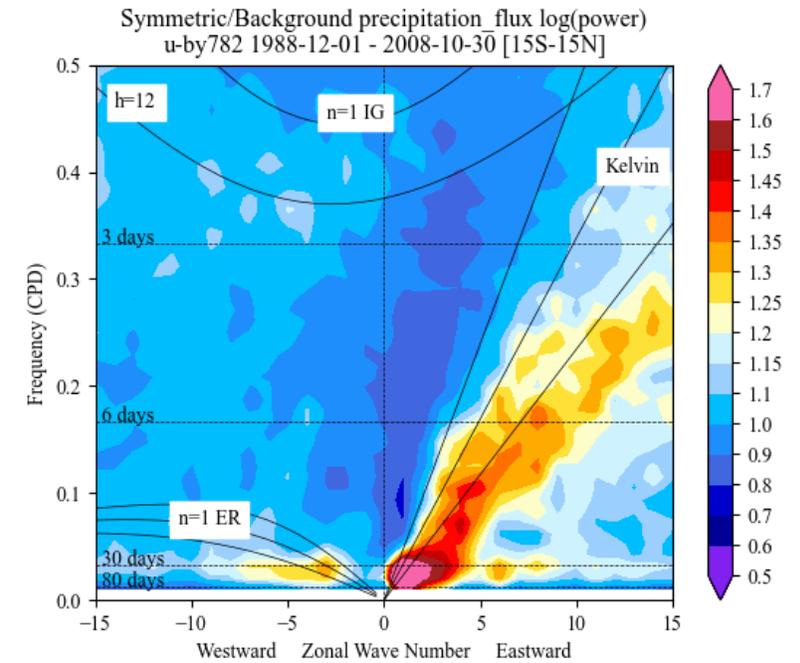


Observational wave spectrum

MJO



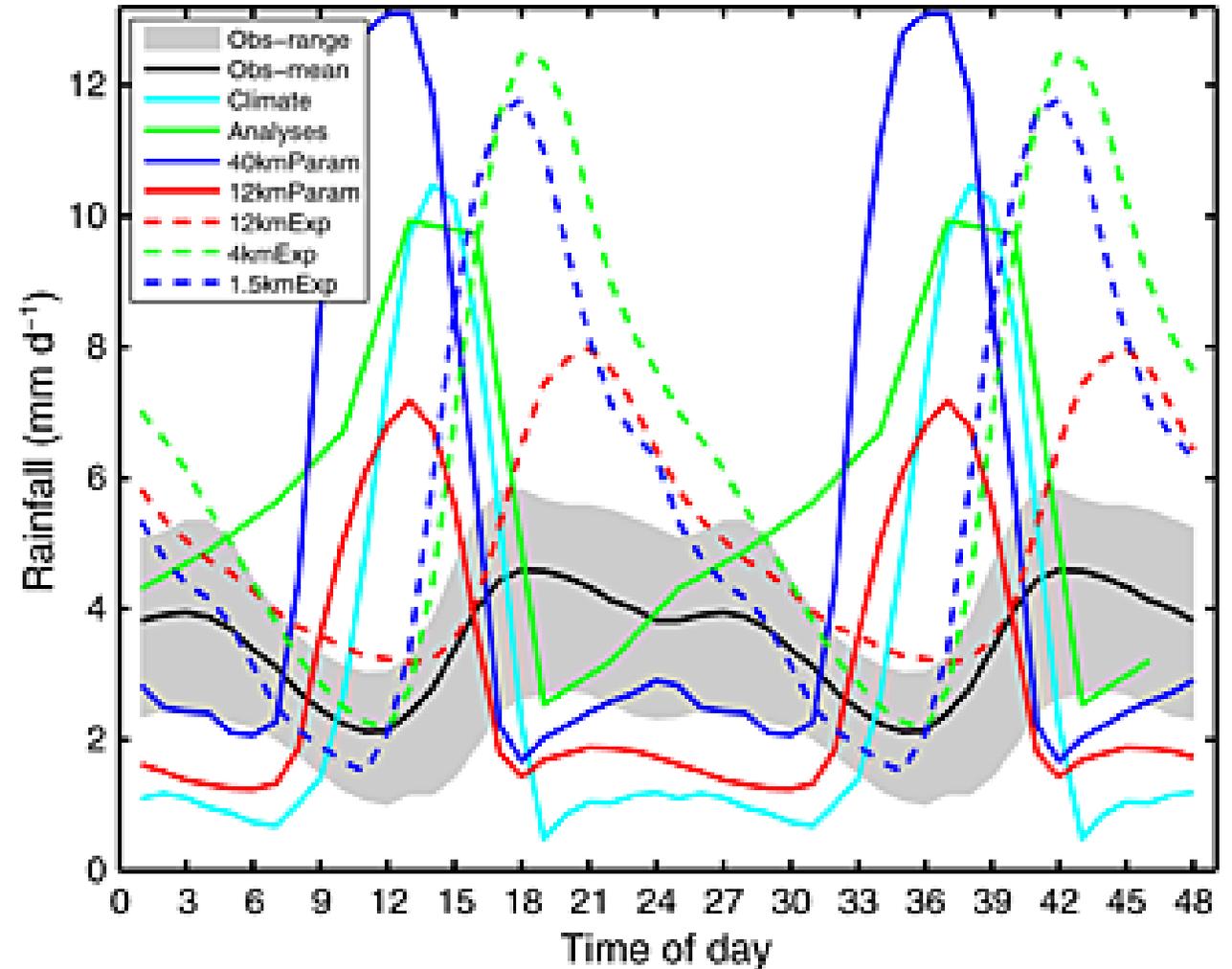
Old scheme



A Comorph, better sensitivity to environmental moisture

# Diurnal cycle

- Parameterized convection often peaks too early, around midday
- Birch 2014, West African Monsoon system, cycle timing also feeds back onto mesoscale circulations.



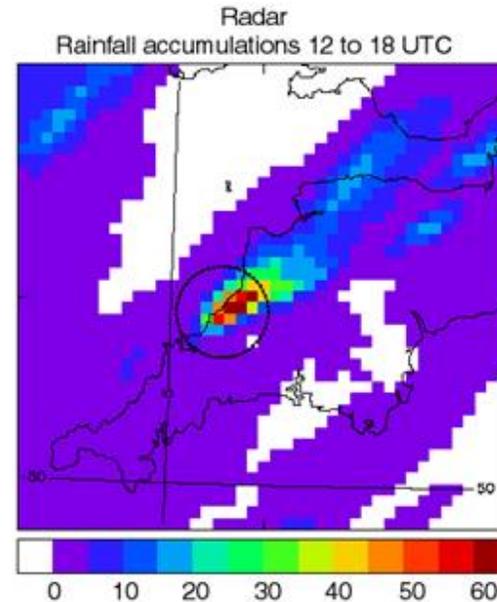
# Diurnal cycle

- Improved by:
  - Revised trigger so convection needs a dynamical forcing as well as the thermodynamics (Xie et al 2019)
  - Revised closure so not overly sensitive to boundary-layer changes to CAPE (Bechtold et al 2014)
  - Revising entrainment rate to capture increasing cloud sizes over the day (Stirling and Stratton 2012)
  - Introducing cold-pool mechanisms (memory) (Colin et al 2019)
- They are all right!

# Use of convection-permitting models

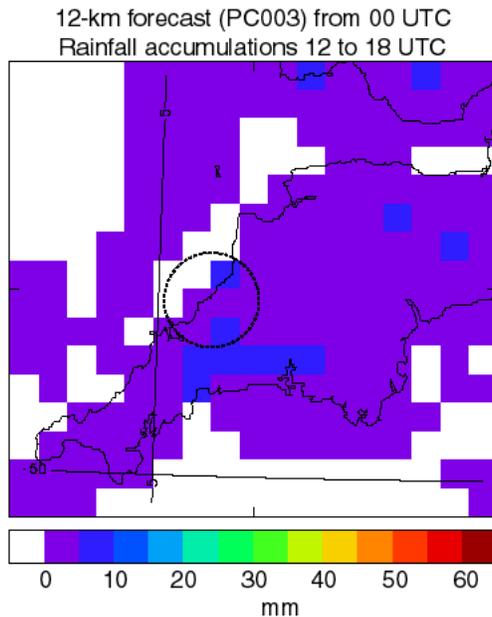
# Boscastle Flood, 16/08/04

Location very good but errors and uncertainties at cloud scale – too little rain.

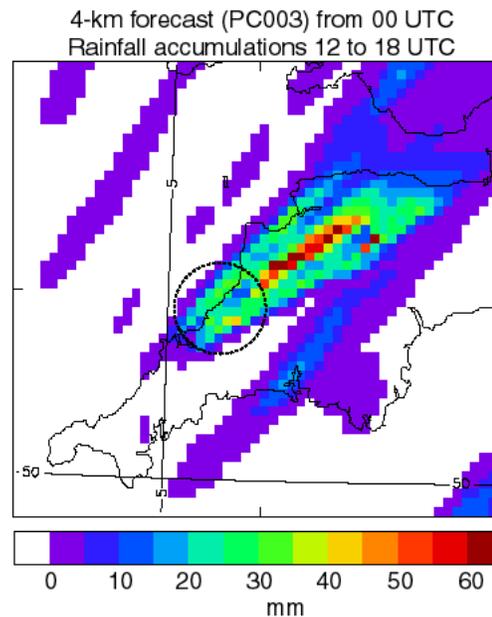


Radar accumulations on 4 km grid

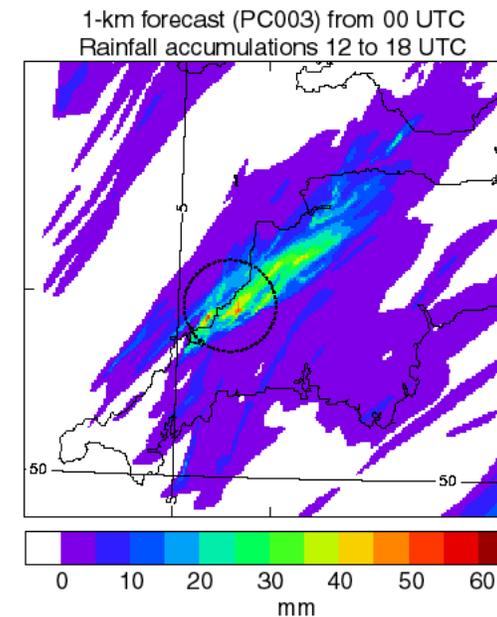
12 km parameterized



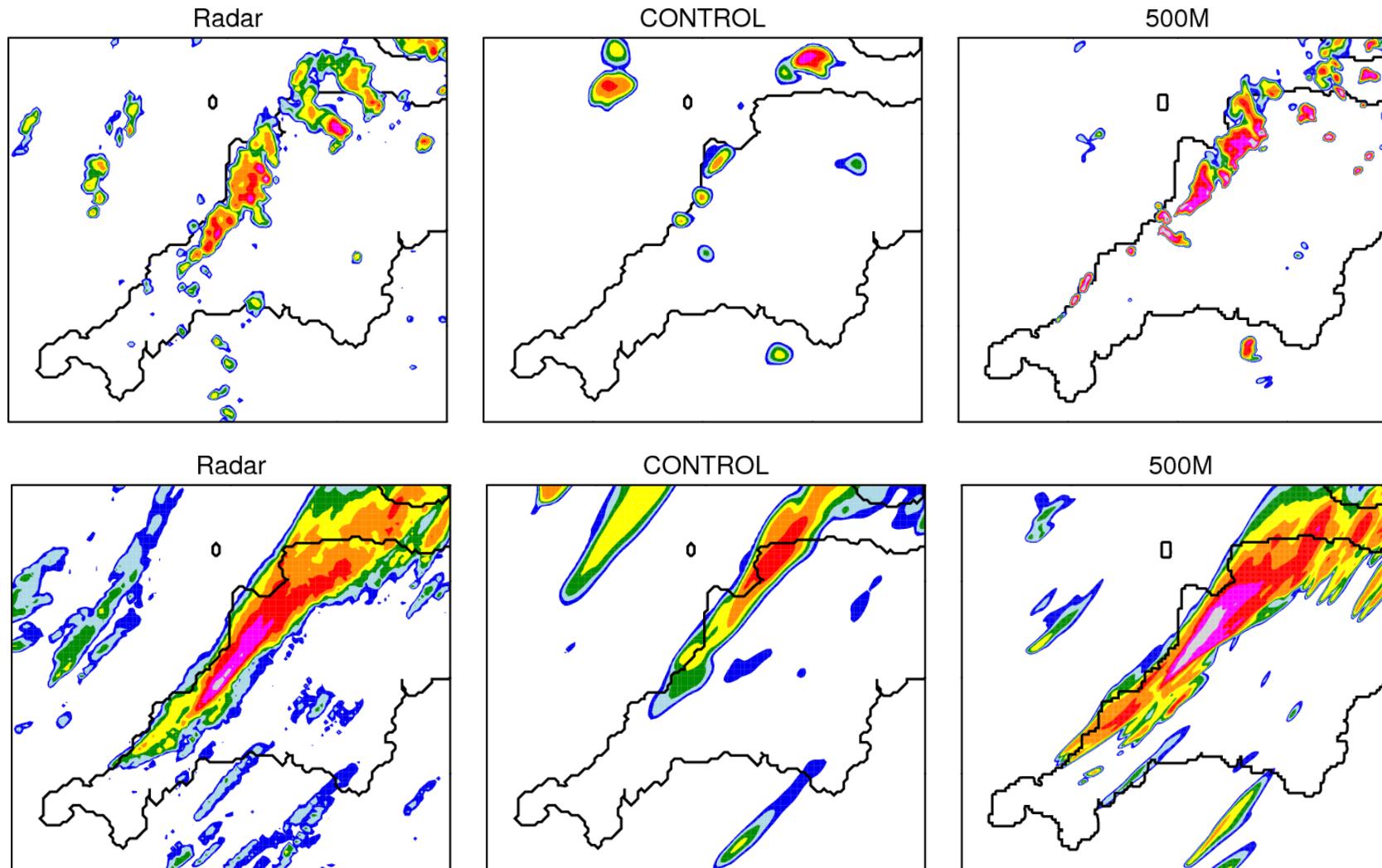
4 km explicit



1 km explicit



# A similar case from 21/07/10

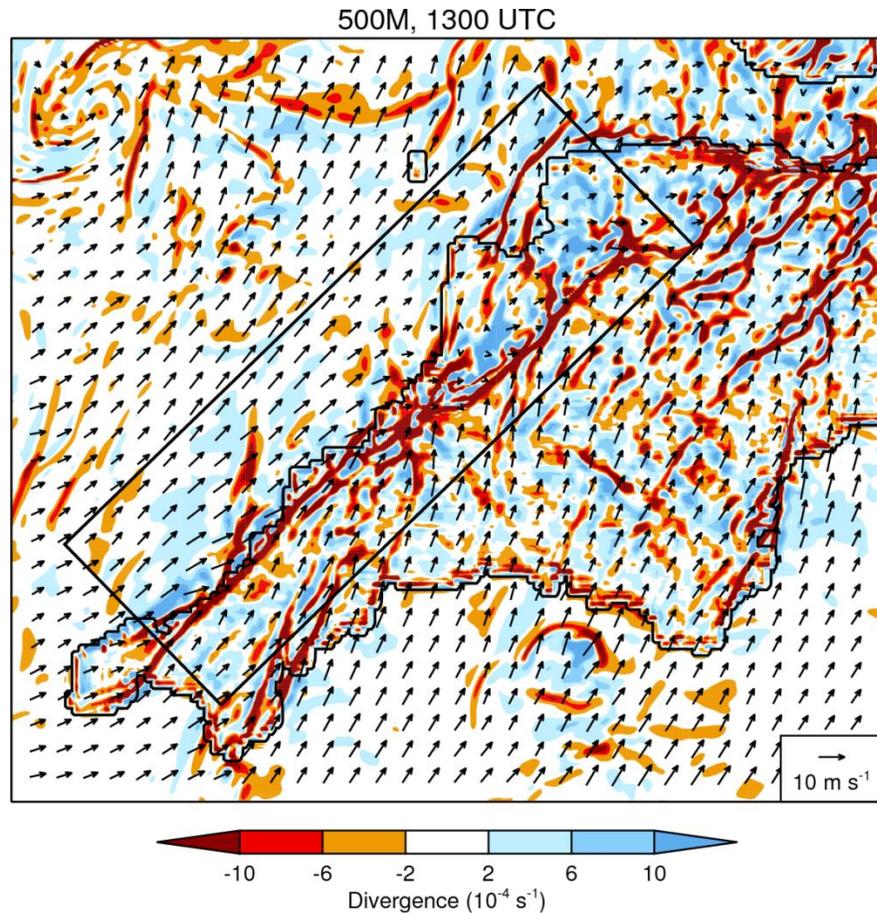


Snapshot of  
rain rates

Accumulations

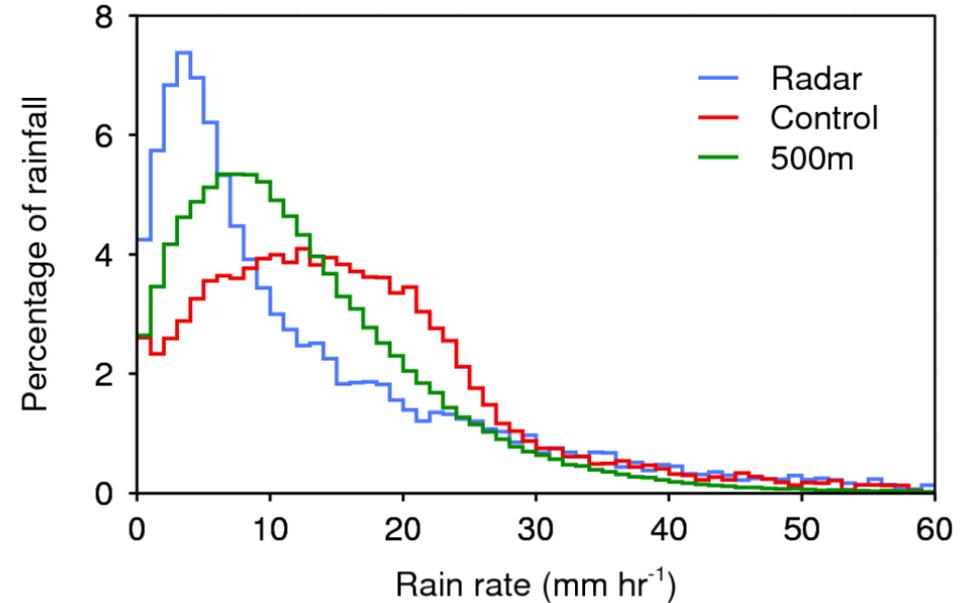
(Warren et al 2014)

# A similar case from 21/07/10



500m gives better location and strength of a sea-breeze convergence line

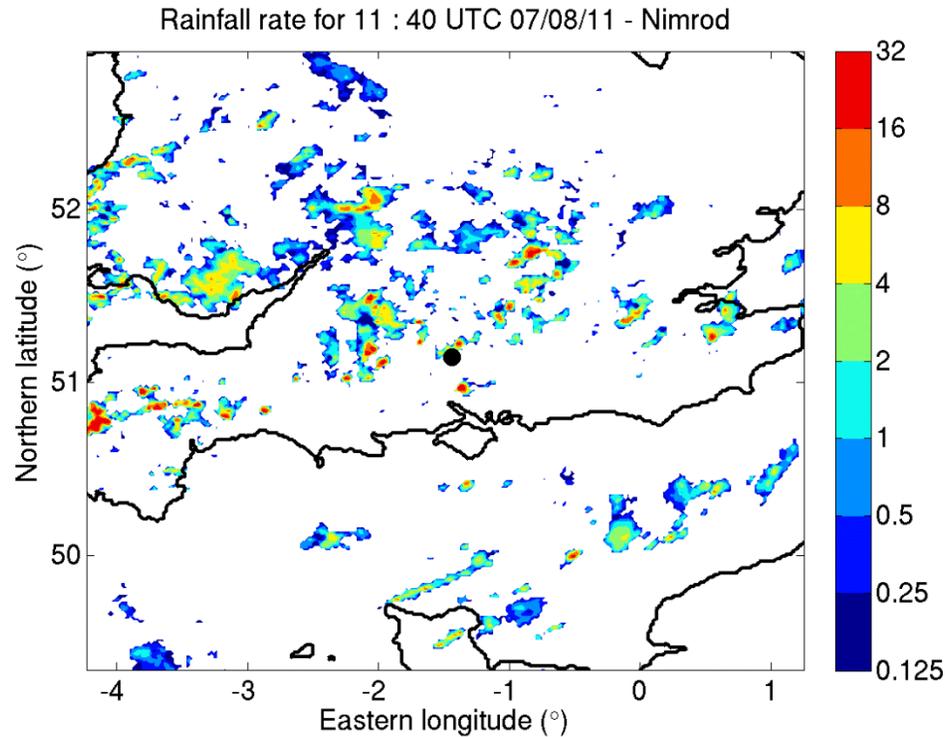
## Rain intensity bias



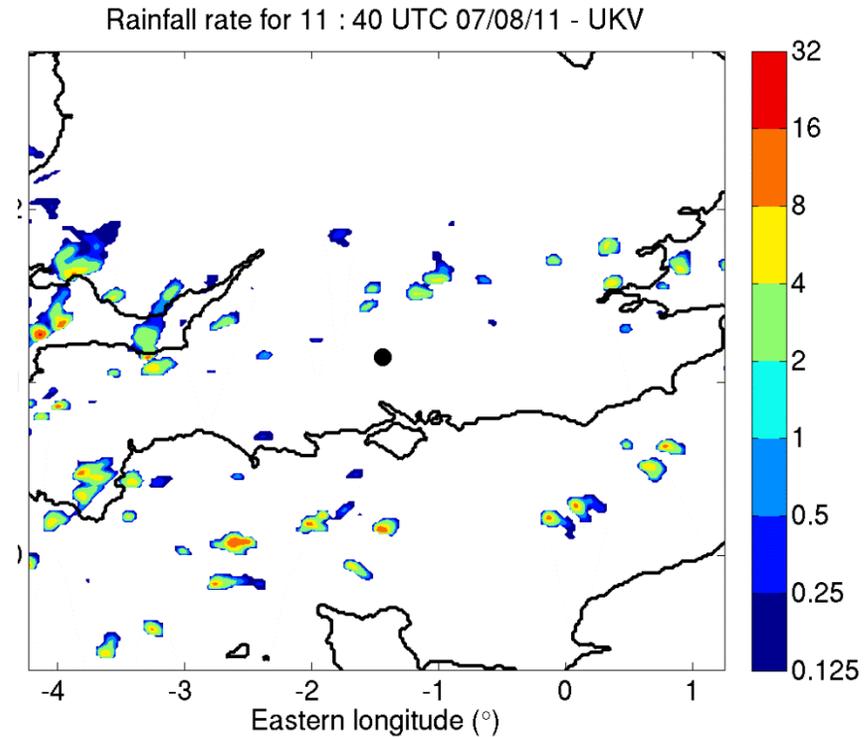
Improved rain rates – lighter but more coverage

# Are the details right? Example, 07/08/11

## Rainfall radar (Nimrod)



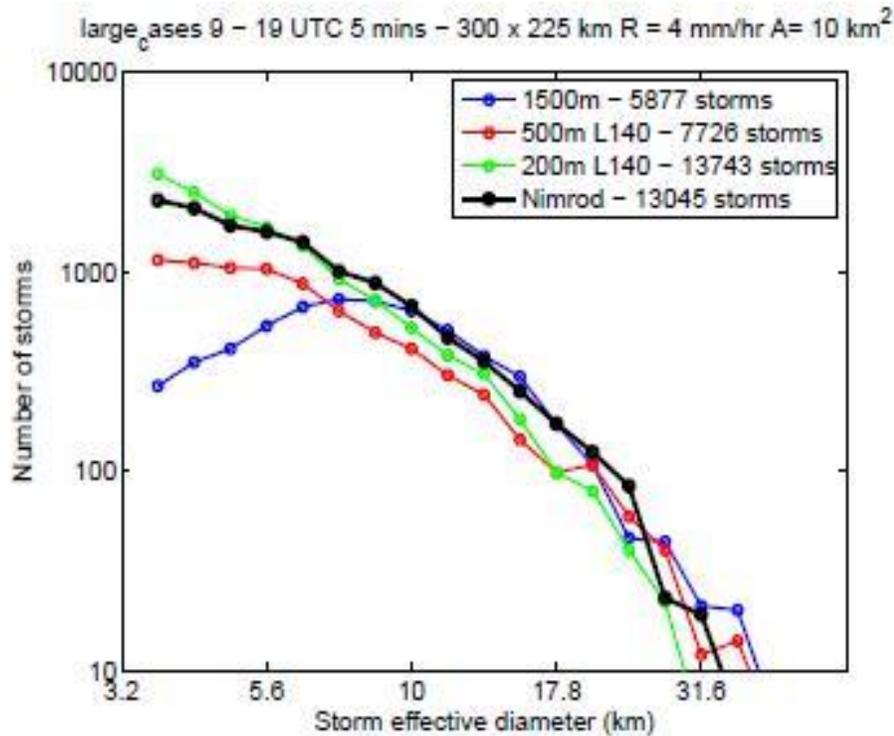
## 1.5 km forecast model (UKV)



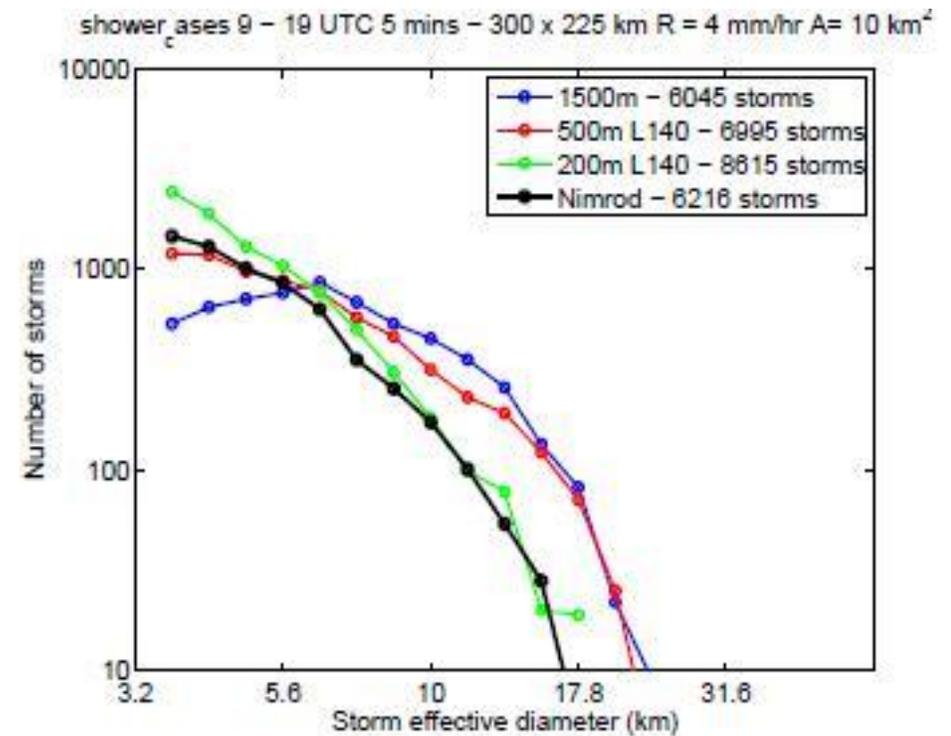
- By eye, UKV does not have enough small storms in this case

# Cell sizes

## Average over strong cases



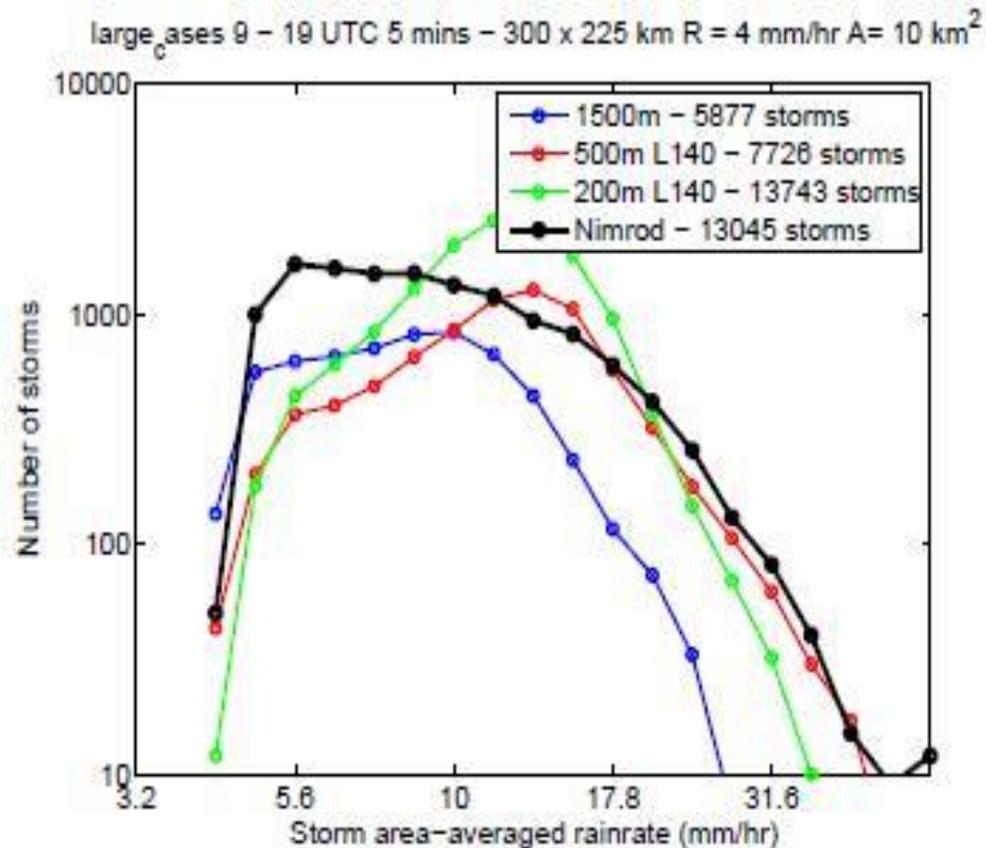
## Average over shower cases



- Storms are identified using an area threshold of 10 km<sup>2</sup> and a rain rate threshold of 4 mm/hr.
- 1.5km model is expected to be poorly resolved for the small storms
- Mixing length is a key parameter controlling these distributions

# Rain rate distributions

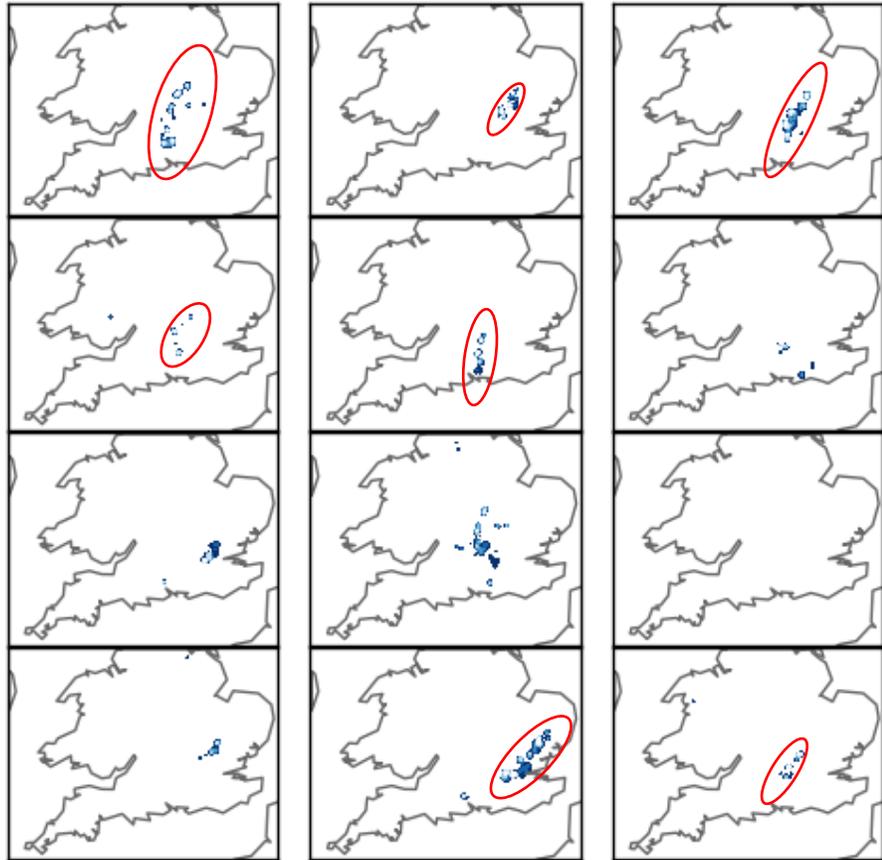
## Average over strong cases



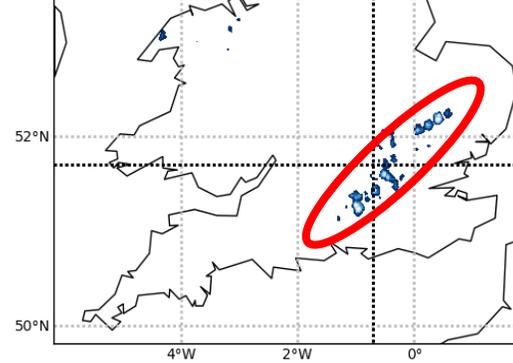
- UKV struggles to get the largest rain rates
- Improves for stronger cases at higher resolutions
- In general, model cells are not variable enough

# Use of Ensembles

**Ensemble members**



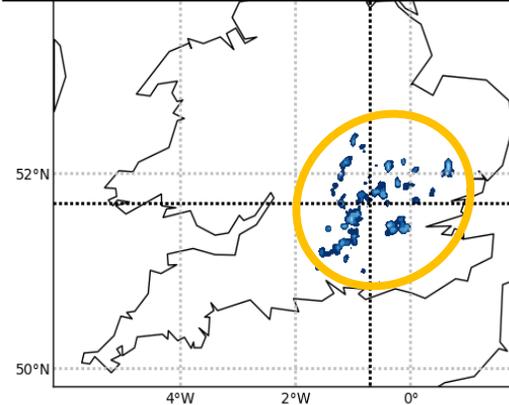
**Radar derived rain rates**



**Spatially aligned cells**

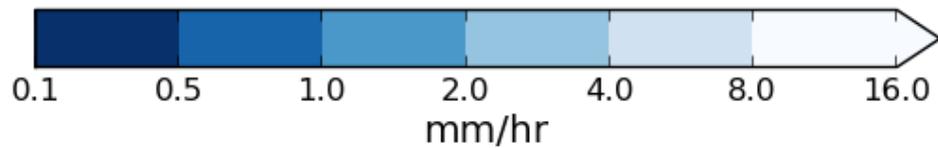
Ensemble mean not representative

**Ensemble mean**

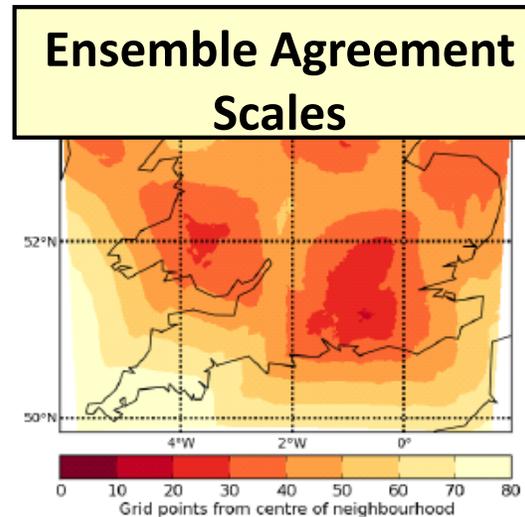
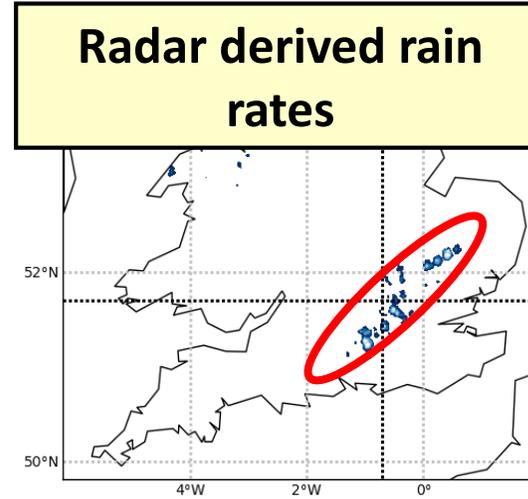
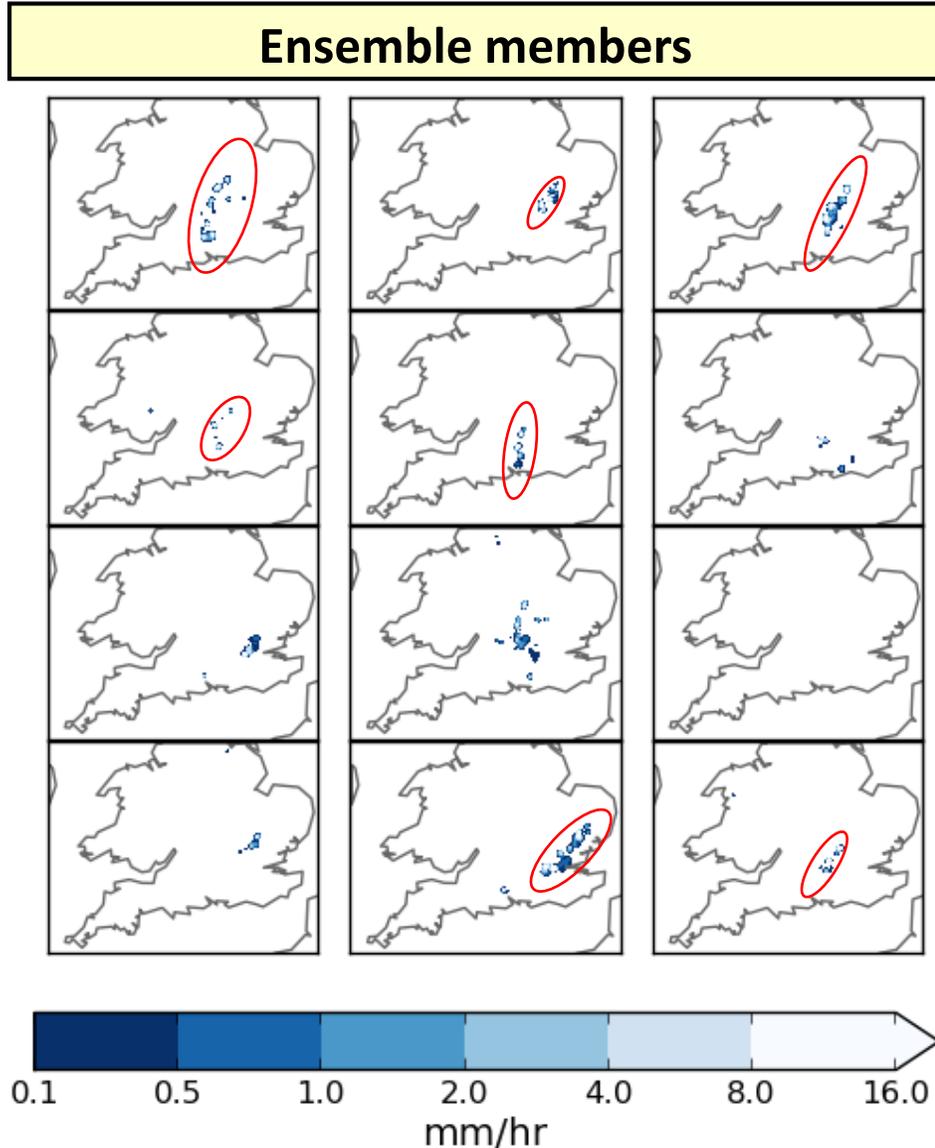


**Scattered showers**

Spatial uncertainty also an issue in forecast verification



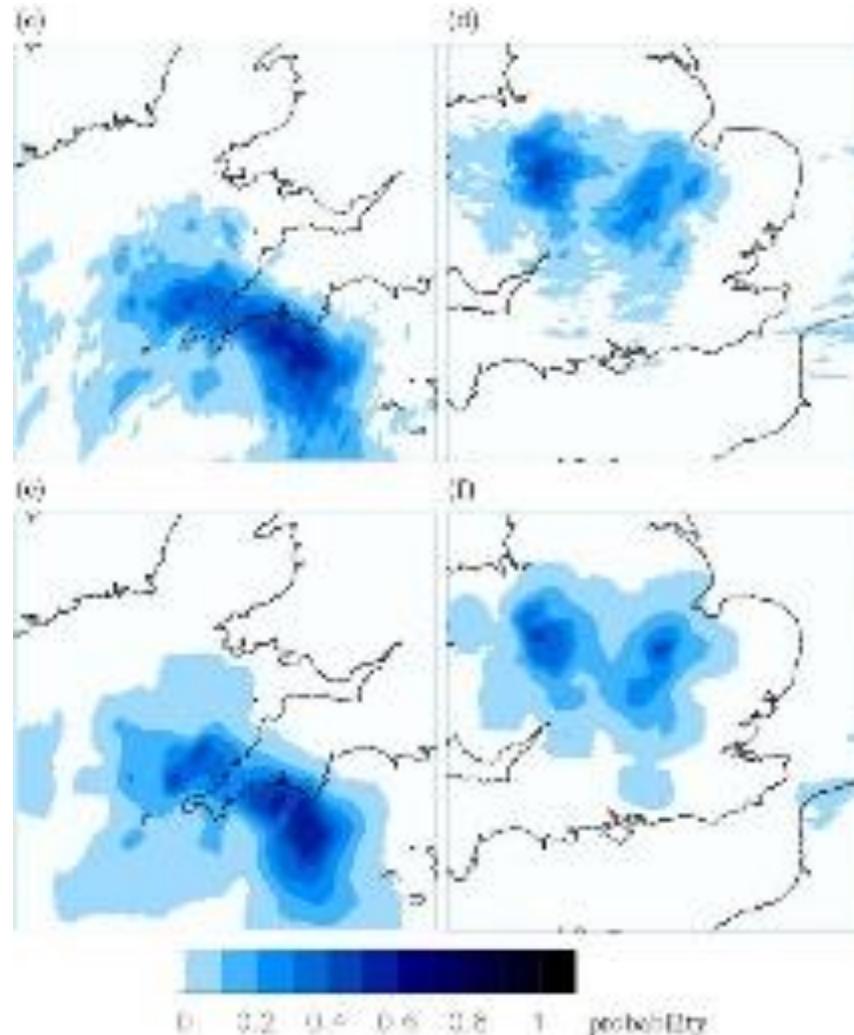
# Use of Ensembles



- Agreement scale asks what is the least amount of averaging needed for 2 members (or a forecast and radar data) to agree
- Mean then measures the spatial uncertainty
- MOGREPS-UK has good spread-skill by this measure though where it is confident about the location of precipitation, it can be over-confident

# Presentation of Outputs

Probability of hourly  
accumulation > 4 mm



Coverack floods, MCS,  
18/07/17

Kent floods,  
05/08/17

Large ensembles of 144  
members

Small ensembles of 12  
members with smoothing  
based on the agreement  
scale

# Conclusions

- Parameterized convection focuses on feedbacks to large-scale flow
  - Typically too much light rainfall, and not enough heavy
  - Diurnal cycle not reliably well captured
  - Difficulties with large-scale, low-frequency organized structures like MJO
- It is blamed for many model issues but it has and continues to be improved
- Convection permitting models are very valuable, especially in non-equilibrium conditions if the small-scale initiation processes can be resolved
- But they are not convection resolving – be cautious about the details of storms
- Important to think about predictability, but ensembles at these scales produce vast data that needs to be summarised and communicated

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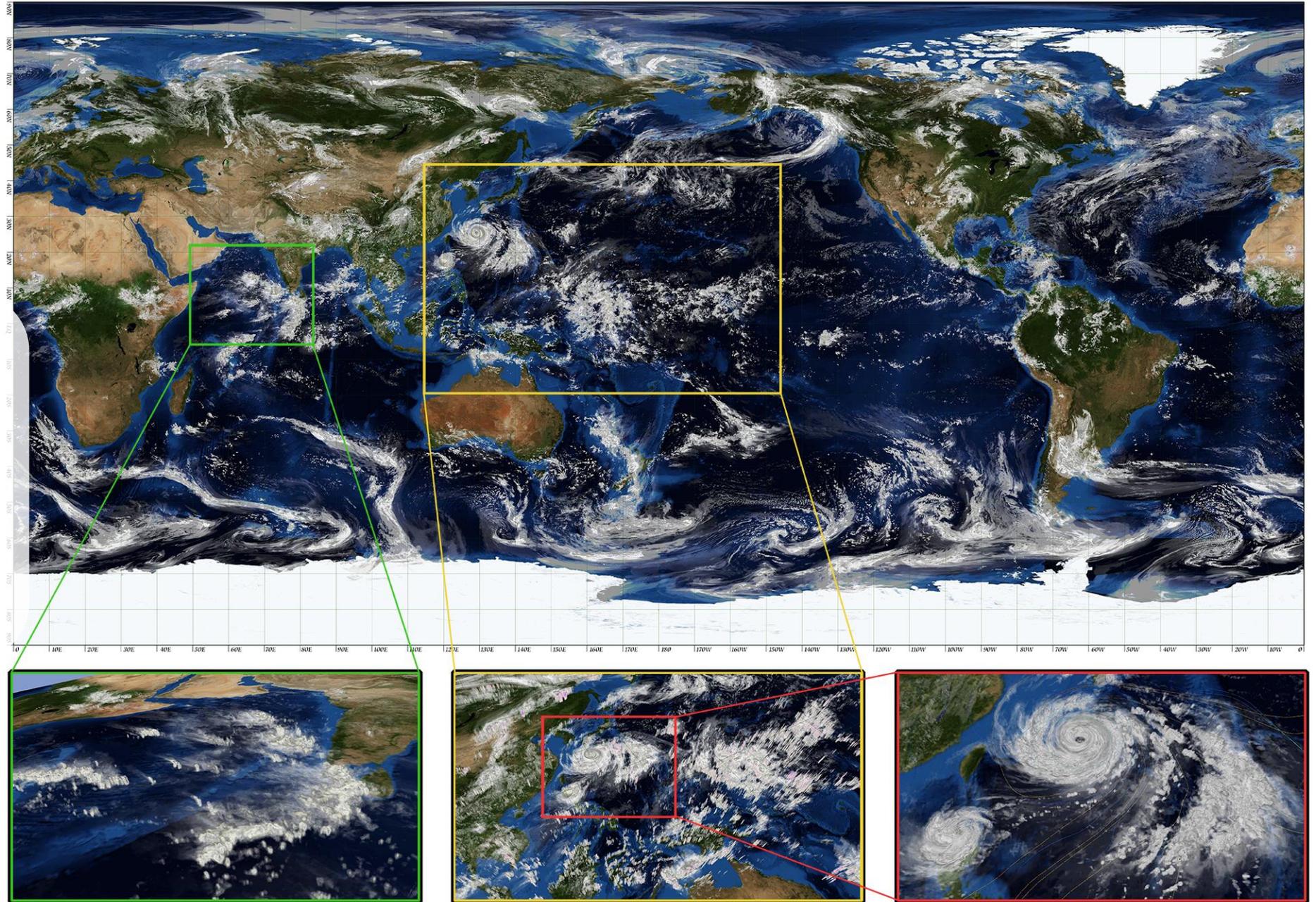
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Possible extras, if useful for questions

- 48h global simulation
- 870m grid spacing
- 4.5h real time for 1h simulation
- 320TB data needing days to process

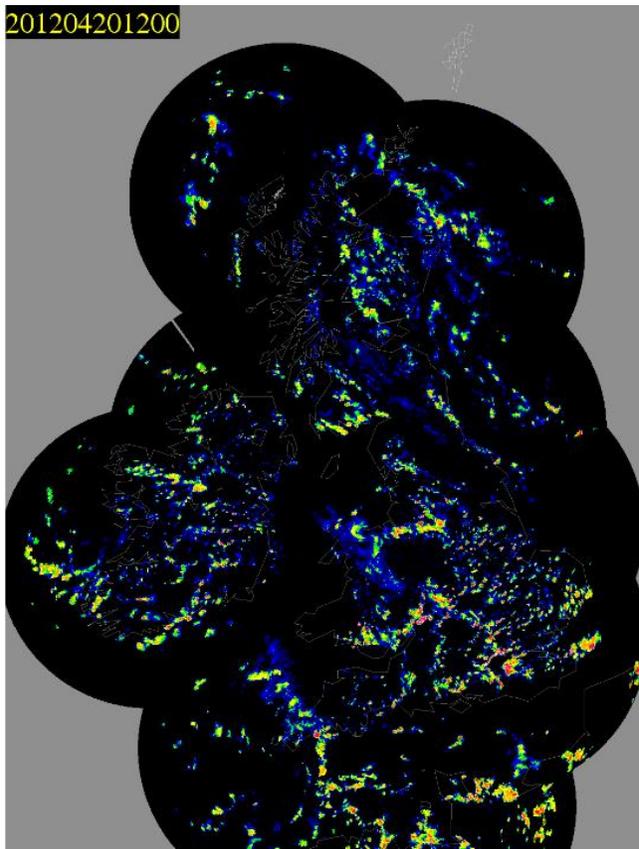


# Troubles in the Grey Zone

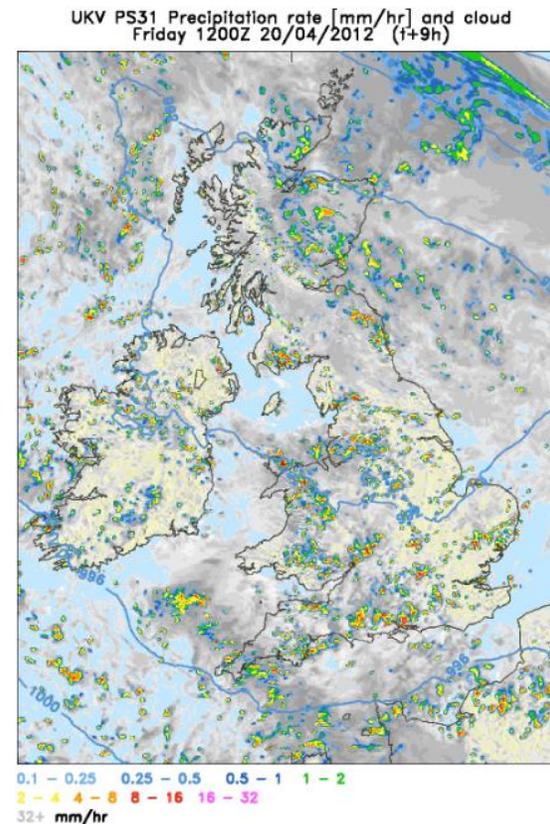
Standard mass-flux convection scheme designed to represent full spectrum of updrafts under an equilibrium

i.e. tries to parameterise the updrafts that should be resolvable, assuming many updrafts per grid-box!

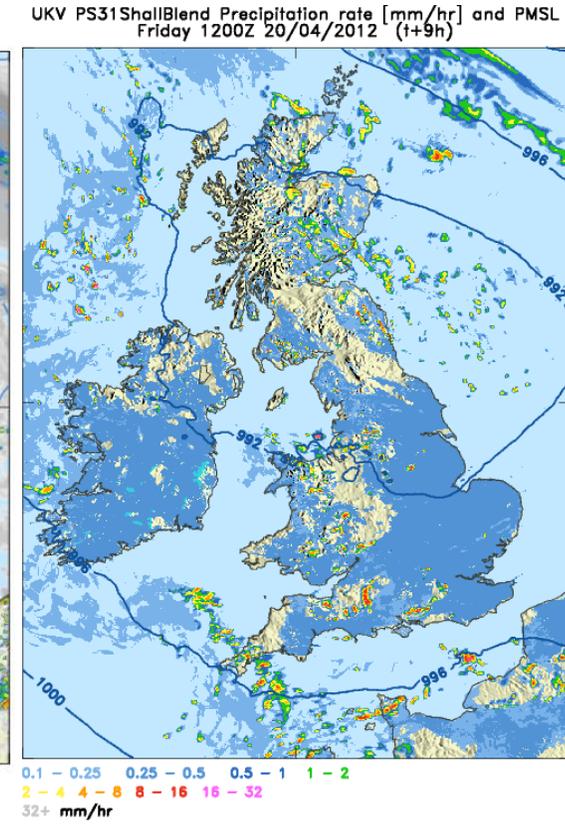
Radar



1.5 km explicit



1.5 km parameterised



Example from  
Adrian Lock